

O I P E
JUL 09 2001
PATENT & TRADEMARK OFFICE
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Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

On July 6, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Sherry Barton

PATENT
Attorney Docket No.: 00939A-045100US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WANG et al.

Application No.: 09/777,007

Filed: February 2, 2001

For: Source Side Programming

Examiner: Unassigned

Art Unit: 2818

**TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

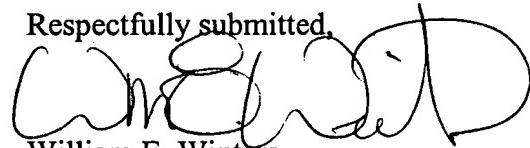
Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated March 13, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney
- 3) Petition to Extend Time
- 4) Request for Corrected Filing Receipt
- 5) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:	(a) Filing Fee (§ 1.16(a)) (Large Entity)	\$710.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	13 - 20 = 0 x \$18.00 =	\$0.00
	5 - 3 = 2 x \$80.00 =	\$160.00
	(c) Missing Parts Surcharge	\$130.00
	TOTAL FEES TO BE CHARGED	\$1,000.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

William E. Winters
Reg. No. 42,232

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: 415 576-0300
W1W:sb

SF 1243196 v1



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sector \$

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/777,007
		Filing Date	February 2, 2001
		First Named Inventor	Wang, Arthur
		Group Art Unit	2818
		Examiner Name	Unassigned
Total Number of Pages in This Submission	29	Attorney Docket Number	00939A045100

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Filing Receipt; Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP William E. Winters	
Signature		
Date	07/06/01	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

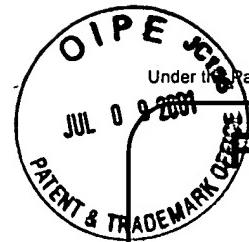
July 6, 2001

Typed or printed name	Sherry Barton		
Signature		Date	July 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1243499 v1

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FEET TRANSMITTAL for FY 2001

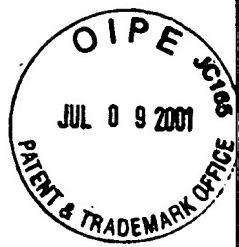
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$) 1415	Complete If Known			
Application Number	09/777,007					
Filing Date	February 2, 2001					
First Named Inventor	Wang, Arthur					
Examiner Name	Unassigned					
Group Art Unit	2818					
Attorney Docket No.	00939A-045100US					

METHOD OF PAYMENT					FEES CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADDITIONAL FEES					
Deposit Account Number	20-1430				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	Townsend and Townsend and Crew LLP				105	130	205	65	Surcharge - late filing fee or oath	130
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					139	130	139	130	Non-English specification	
					147	2,520	147	2,520	For filing a request for reexamination	
					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
					115	110	215	55	Extension for reply within first month	
					116	390	216	195	Extension for reply within second month	390
					117	890	217	445	Extension for reply within third month	
					118	1,390	218	695	Extension for reply within fourth month	
					128	1,890	228	945	Extension for reply within fifth month	
					119	310	219	155	Notice of Appeal	
					120	310	220	155	Filing a brief in support of an appeal	
					121	270	221	135	Request for oral hearing	
					138	1,510	138	1,510	Petition to institute a public use proceeding	
					140	110	240	55	Petition to revive – unavoidable	
					141	1,240	241	620	Petition to revive – unintentional	
					142	1,240	242	620	Utility issue fee (or reissue)	
					143	440	243	220	Design issue fee	
					144	600	244	300	Plant issue fee	
					122	130	122	130	Petitions to the Commissioner	
					123	50	123	50	Petitions related to provisional applications	
					126	180	126	180	Submission of Information Disclosure Stmt	
					581	40	581	40	Recording each patent assignment per property (times number of properties)	
					146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
					149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
					179	710	279	355	Request for Continued Examination (RCE)	
					169	900	169	900	Request for expedited examination of a design application	
					Other fee (specify) Request for Corrected Filing Receipt				25	
					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$545)	
					**or number previously paid, if greater; For Reissues, see above					

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	William E. Winters	Registration No. (Attorney/Agent)	42,232	Telephone	415-576-0200		
Signature				Date	07/06/01		

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents Washington, D.C. 20231
on July 6, 2001

By: Sherry Barton

PATENT
Attorney Docket No.: 00939A045100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WANG, et al.

Application No.: 09/777,007

Filed: February 2, 2001

For: SOURCE SIDE PROGRAMMING

Examiner: Unassigned

Art Unit: 2818

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

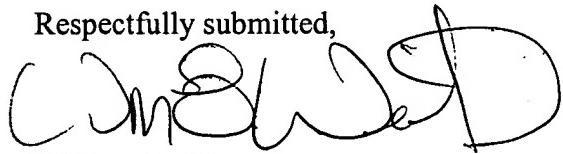
Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested. The corrections are noted in red on the enclosed copy of the Filing Receipt.

There is an error in that the full names of two of the inventors were inadvertently entered incorrectly; namely Hsingya Arthur Wang and Ming Sang Kwan. Also the fifth inventor was inadvertently omitted, namely Peter Rabkin, 21631 Regnart Road, Cupertino, CA 95014.

The correction is due to applicant's error and the fee therefor, pursuant to 37 CFR §1.19(h), of \$25.00 is to be charged to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the Deposit Account No. 20-1430. This Petition is submitted in triplicate.

Respectfully submitted,



William E. Winters
Reg. No. 42,232

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
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Tel: (415) 576-0200
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WEW/sb

SF 151138 v1\SF 1243207 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

00939A-045100US

RCCowell/W1Winters

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/777,007	02/02/2001	2818	0.00	00939A-045100US	9	13	6

CONFIRMATION NO. 5469

20350

TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FILING RECEIPT



OC000000005857802

Date Mailed: 03/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arthur Wang, San Jose, CA; HSING YA ARTHUR WANG
 Yuan Tang, San Jose, CA;
 Haike Dong, San Ramon, CA;
 Ming Kwan, San Leandro, CA; MING SANG KWAN
 PETER RABKIN, CUPERTINO, CA

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 03/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Source side programming

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TOWNSEND & TOWNSEND

Preliminary Class

365

Data entry by : DUONG, MICHAEL

Team : OIPE

Date: 03/13/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231